

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



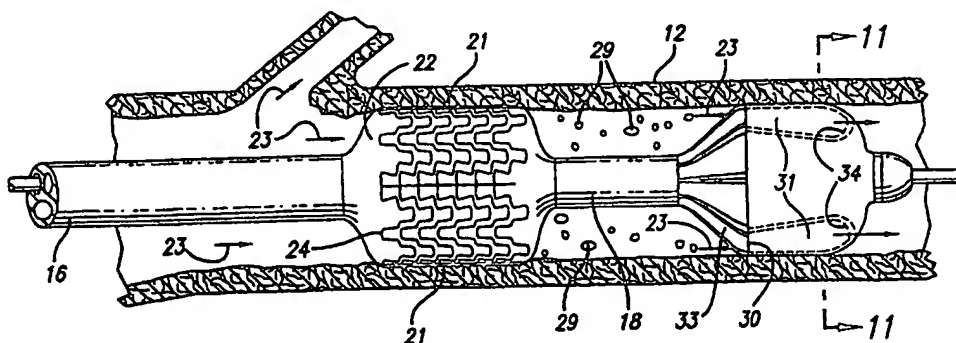
(43) International Publication Date  
12 July 2001 (12.07.2001)

PCT

(10) International Publication Number  
WO 01/49209 A1

- (51) International Patent Classification<sup>7</sup>: A61F 2/01
- (21) International Application Number: PCT/US00/35697
- (22) International Filing Date:  
29 December 2000 (29.12.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/475,106 30 December 1999 (30.12.1999) US
- (71) Applicant: ADVANCED CARDIOVASCULAR SYSTEMS, INC. [US/US]; 3200 Lakeside Drive, Santa Clara, CA 95054-2807 (US).
- (72) Inventors: BURKETT, David, H.; 31020 Via Norte Road, Temecula, CA 92591 (US). KOKISH, Arkady; 105 Griffith Place, Los Gatos, CA 95030 (US). WANG, Chicheng; 655 So. Fair Oaks Avenue, #H101, Sunnyvale, CA 94086 (US).
- (74) Agents: NAGY, John, S. et al.; Fulwider Patton Lee & Utecht, LLP, Howard Hughes Center, 10th floor, 6060 Center Drive, Los Angeles, CA 90045 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— With international search report.  
— Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: CATHETER APPARATUS FOR TREATING OCCLUDED VESSELS AND FILTERING EMBOLIC DEBRIS AND METHOD OF USE



(57) Abstract: A catheter apparatus includes a lesion dilating member disposable at a lesion position in a vessel (e.g. a patient's artery) which is dilatable to open the vessel at the lesion position. An emboli-capturing assembly having a perfusion member and filter is displaced downstream from the lesion dilating member to capture embolic debris released in the fluid flow. The perfusion member may illustratively have peaks and channels formed between adjacent peaks which cooperate with the filter to create trapping pockets that capture embolic debris. The filter may be made from any flexible and porous material with dimensions to pass the fluid and block the emboli. The perfusion member is thereafter deflated to provide for emboli retention in the perfusion member.

WO 01/49209 A1

**CATHETER APPARATUS FOR TREATING OCCLUDED  
VESSELS AND FILTERING EMBOLIC DEBRIS  
AND METHOD OF USE**

**BACKGROUND OF THE INVENTION**

This invention relates to an apparatus for, and a method of, treating occluded vessels (e.g. an artery) and capturing friable emboli which may break away from the lesion in the vessel during the interventional procedure. The apparatus and method of the present invention are especially useful when performing carotid interventional procedures in order  
5 to prevent emboli or debris from entering and occluding downstream blood vessels leading to the brain which, if blocked, may cause a stroke. However, the system and method of this invention can be adapted by a person of ordinary skill in the art for use in numerous other vascular interventional procedures.

In recent years, numerous procedures have been adapted for expanding blood  
10 vessels (e.g. arteries), at the positions of lesions in the blood vessels, so that blood can flow through the blood vessels without obstruction from the lesions. In the process of expanding such blood vessels at the positions of the lesions, emboli may become detached from the lesions and enter the bloodstream and subsequently migrate through the patient's vasculature to occlude small diameter vessels which supply oxygenated blood to sensitive  
15 organs such as the brain, where such blockage may induce trauma.

Procedures have also been adapted in recent years for preventing embolic debris from flowing through the vessels in the direction of the blood flow. For example, filters have been provided for trapping the emboli. When lesions develop in the carotid artery of a patient, the placement of a filter in the patient's vasculature can somewhat  
20 reduce the movement of the emboli to the blood vessels supplying the patient's brain, thereby preventing strokes from occurring.

Such filters are usually delivered in a collapsed position through the patient's vasculature and are then expanded once in place in the patient's blood vessel to trap the emboli. After emboli have been trapped, the filter is collapsed to remove the filter (with  
25 the trapped emboli) from the vessel. However, it is possible for some of the trapped emboli to escape from the filter during the time that the filter is being collapsed and/or removed from the blood vessel after being collapsed. When an interventional procedure

is being performed in a carotid artery, even a trace release of emboli can be devastating. For this reason, attempts to treat lesions in the carotid arteries have been somewhat limited due to the danger presented if all of the embolic debris is not collected during the procedure.

5           Therefore, in light of the above, it would be desirable for an apparatus and method which can be utilized to treat an occluded vessel and trap any emboli that maybe formed during the vascular procedure. Such an apparatus and method must also prevent the emboli from escaping from the filter during the time that the filter is being collapsed and/or removed from the blood vessel (e.g. the carotid arteries). Such a device or method  
10       should be easy and safe to deploy, and be easily removed from the vasculature with minimal adverse impact or immunological response to the patient.

### SUMMARY OF THE INVENTION

15           The present invention addresses the above-mentioned needs by providing a catheter apparatus which can be used to treat an occluded vessel and trap any embolic debris which may be released into the bloodstream during the procedure. The catheter apparatus includes both a lesion dilating member (the interventional device) and an emboli capturing filter assembly which can be simultaneously introduced into the patient's  
20       vasculature. In one preferred embodiment, the lesion dilating member is an expandable member, such as a dilatation balloon, which is disposable at the lesion position in the vessel (e.g. a patient's artery) and dilatable to open the vessel. The emboli capturing filter assembly can be formed from a perfusion member (a balloon) which has a number of channels formed at its outer surface and filter material placed over the outer surface of the  
25       balloon. The emboli-capturing assembly is distal to, and placed downstream from, the lesion dilating member to capture, when the perfusion member is expanded, any emboli released into the bloodstream of the vessel. The emboli will be retained within a reservoir formed on the emboli capturing assembly until the procedure is completed. Thereafter, the perfusion member (with the trapped embolic debris) can be deflated and removed from the  
30       vasculature.

The perfusion member may be any one of a number of conventional perfusion balloons known in the art. Perfusion balloons are sometimes utilized during angioplasty and other interventional procedures when blood perfusion past an inflated dilatation balloon is required. A conventional perfusion balloon usually has a number of channels  
5 formed along the balloon's outer surface to create conduits to allow blood to pass through when the perfusion balloon is inflated within a blood vessel. The perfusion balloon may have any one of a number of different cross-sectional shapes, such as a star-shape, and the channels may be straight or spiral. The shape of the balloon and the number of channels formed on the perfusion balloon should ensure that adequate blood flow past the inflated  
10 balloon is achieved and maintained throughout the procedure.

A filtering material is placed over the outer surface of the perfusion member to create a "pocket" with each channel of the perfusion member' and traps any embolic debris that may pass through the inlet of the channel. This filtering coverage starts distally from the proximal end of the perfusion member and terminates at the distal end of the  
15 perfusion member to create a deep "pocket" or "reservoir" which allows for the free flow of blood but catches any emboli which may be released in the bloodstream. After the perfusion member is completely expanded against the vessel wall, blood and embolic debris enters the "pocket" formed between the filter and the perfusion member.

The pervasion member may illustratively have peaks and channels formed  
20 between adjacent peaks. The depth of the channels at the center region of the perfusion member is usually less than at the distal end of the perfusion member. In one preferred embodiment, the perfusion member has a star-shaped cross-section which creates individual channels that cooperate with the filtering material to create the filtering "pockets" which receive the blood and any embolic debris released into the bloodstream.  
25 The size and depth of the channel at the center region are designed to be shallower than the channel as it proceeds distally along the perfusion member. The smaller opening of the channel where the coverage of the filter begins permits sufficient fluid flow into the channel with the larger or deeper portion of the channel at the distal end of the perfusion balloon forming the reservoir for capturing and retaining the embolic debris. When  
30 deflated, the perfusion member provides for retention of the trapped emboli in the perfusion member. Due to the unique construction of the shallower channel at the inlet

opening of the perfusion member, any trapped emboli in the distal reservoir portion is less likely to be discharged out of the smaller channel opening once the perfusion member is deflated. The filtering material may be made from any flexible and porous material with dimensions to allow fluid (blood) to pass through and block the embolic debris.

5           In use, the catheter apparatus is initially disposed in a vessel with the lesion dilating member juxtaposed to the lesion and the emboli-capturing assembly positioned downstream from the lesion in the fluid flow direction. The perfusion member is initially inflated to deploy the filtering portion of the catheter and the lesion dilating member is then dilated to expand the vessel at the lesion position. The lesion dilating member is  
10 thereafter deflated to ensure that any emboli from the lesion which may be trapped against the inflated dilating member is allowed to travel with the blood flow into the trapping "pocket" of the perfusion member. The perfusion member is thereafter deflated and removed (with the trapped emboli) from the patient's vasculature.

Other features and advantages of the present invention will become more  
15 apparent from the following detained description of the invention, when taken in conjunction with the accompanying exemplary drawings.

### BRIEF DESCRIPTION OF THE DRAWINGS

20           FIG. 1 is a schematic view of a catheter apparatus which includes a lesion dilatable member (e.g. expandable balloon and stent) and an emboli-capturing assembly (a perfusion member and filter) for expanding a vessel (e.g. an artery) at the position of a lesion and trapping emboli produced during the vessel expansion.

25           FIG. 2 is a sectional view of the catheter at a first position in the apparatus of FIG. 1 and is taken substantially along line 2-2 of FIG. 1.

FIG. 3 is a sectional view of the catheter at a second position in the apparatus of FIG. 1 and is taken substantially along line 3-3 of FIG. 1.

FIG. 4 is a sectional view of the catheter at a third position in the apparatus of FIG. 1 and is taken substantially along line 4-4 of FIG. 1.

FIG. 5 is a fragmentary schematic view of the apparatus of FIG. 1 with the catheter advanced into the vessel so that the lesion dilating member is juxtaposed to a lesion and the emboli-capturing assembly is displaced from the lesion in the direction of the fluid flow with the perfusion member inflated.

FIG. 6 is a fragmentary schematic view similar to that shown in FIG. 5 but shows the lesion dilatable member dilated to treat the stenosis.

FIG. 7 is a fragmentary schematic view similar to that shown in FIG. 6 but shows the lesion dilatable member deflated with the perfusion member inflated to capture emboli.

FIG. 8 is a fragmentary schematic view similar to that shown in FIGS. 5 and 6 but with the lesion dilatable member and the perfusion member deflated.

FIG. 9 is a fragmentary schematic view similar to that shown in FIG. 7 but with the lesion dilatable member and the emboli-capturing assembly withdrawn through the vessel to a position in front of the lesion in the direction of fluid flow through the vessel.

FIG. 10 is a sectional view taken substantially along line 10-10 of FIG. 5 and shows the center region of the perfusion member with the perfusion member inflated.

FIG. 11 is a sectional view taken substantially along line 11-12 of FIG. 6 and shows the distal end of the perfusion member with the perfusion member inflated; and

FIG. 12 is a sectional view taken substantially along line 12-12 of FIG. 7 and shows the perfusion member in deflated form.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A preferred embodiment of a catheter apparatus for treating an occluded vessel and capturing embolic debris in a blood vessel, generally indicated at 10, is shown in the drawings. The catheter 10 is constructed to extend through a vessel 12 such as a patient's artery. The catheter 10 may include a dual-lumen tubing 14 (FIG. 2) at the proximal end. The dual-lumen tubing 14 may be connected to a tri-lumen tubing 16 (FIG. 3) at its distal end. At the distal end of the tri-lumen tubing 16, a dual-lumen tubing 18 may be provided (FIG. 4). The dual-lumen tubings 14 and 18 and the tri-lumen tubing 16 are known in the art. The dual-lumen tubing 14 includes a pair of inflation lumens 11 and 15 which are used for inflating and deflating the lesion dilating member 22 and perfusion member 28 described below. Alternatively, a tri-lumen tubing may be used throughout the length of the catheter 10. A guide wire 20 may extend through the guidewire lumen 17 extending through the tri-lumen tubing 16 and the dual-lumen tubing 18. The guide wire 20 may illustratively have a diameter of approximately fourteen thousandths of an inch (0.014").

The catheter 10 includes a lesion dilating member (e.g. inflatable balloon) 22 which is utilized in performing the interventional procedure. The lesion dilating member 22 is known in the art. It is constructed to be disposed at the position of a lesion 21 in the vessel 12 (e.g. a carotid artery) and to expand the vessel, when dilated, to reduce the blockage in the vessel at the position of the lesion. The expansion of the vessel 12 at the position of the lesion 21 may be seen from a comparison of FIGS. 5 and 6. In this way, fluid (e.g. blood) can flow through the vessel 12 at the position of the lesion 21 without obstruction. The lesion dilating member 22 is thereafter deflated when it is desired to remove the balloon from the vessel 12. The flow of the fluid through the vessel 12 is indicated by arrows 23 in the drawings.

In the embodiment disclosed herein, the lesion dilating member 22 is utilized with a device known as stent 24 which is implanted in the artery 12 to reduce the likelihood of the occurrence of an abrupt reclosure of the stenosed region and to strengthen the area which undergoes the angioplasty procedure. Stents are usually delivered in a compressed condition to the target location and then are deployed into an expanded condition to support the vessel and help maintain it in an open position. The stent is

usually crimped tightly onto a delivery catheter and is transported through the patient's vasculature. The stent is extendible upon application of a controlled force, in this case through the inflation of the balloon portion of the lesion dilating member 22, which expands the compressed stent 24 to a larger diameter to be implanted within the artery 12 at the target location. Although the stent 24 shown in this embodiment requires application of a controlled force to expand it within the artery, the stent could also be made from a self-expanding type formed from, for example, shape-memory metals or superelastic nickel titanium (NiTi) alloys, which will automatically expand from a compressed to an expanded state, thereby eliminating the need for an expandable balloon.

10 In the present embodiment, the lesion dilating member 22 causes the lesion 21 to compress against the wall of the vessel 12. Again, as the balloon portion of the lesion dilating member expands, so does the stent 24 which, as is shown in FIGS. 6-9, contacts the stenosis to compress the plaque build-up and increase the diameter of the blood vessel 12.

15 An emboli-capturing assembly, generally indicated at 26 in FIG. 1, is located on the catheter 12 at a position distal from the lesion dilating member 22. The emboli-capturing assembly 26 includes a perfusion member (e.g. balloon) 28 and a filter 30 attached to the outer surface of the perfusion member.

The filter 30 is attached to the perfusion member 28, preferably by tightly bonding the filter onto the outer surface of the perfusion member by adhesives or other bonding method. In this particular embodiment, the coverage of the filter 30 starts from approximately the middle or central section 25 of the perfusion member 28 and terminates at the distal end 27 of the perfusion member. The channels 33 of perfusion member 28 and the filter 30 are disposed relative to each other, with the filter bonded to the perfusion member to define a trapping "pocket" 31 (FIG. 10) which extends along the length of the perfusion member to capture embolic debris 29 in the bloodstream.

The perfusion member 28 is provided with a series of undulations providing variations in depth at progressive annular positions. The number of undulations can be variable. For example, the number of undulations may be eight (8) as shown in FIG. 10. 30 The undulations may be defined by peaks 32 and channels 33 between the peaks as schematically shown in FIG. 10. As will be seen, the peaks 32 contact the wall 13 of the



vessel. The distal end 27 of the perfusion member 28 may have undulations defined by peaks 32 and channels 33. This is illustrated schematically in FIG. 11.

The depth of the channel, i.e. the distance from the peak 32 to the bottom 34 of the channel 33, may be relatively small at the center section 25 of the perfusion member 28 with the distance from the peaks 32 to the bottom of the channels 33 being relatively larger at the distal end 27 of the perfusion member. This may be seen from a comparison between the perfusion member 28 as shown in FIGS. 10 and 11. In this way, the perfusion member 28 provides relatively shallow channels at the center section 25 of the perfusion member which are adequate to receive (blood) along with any embolic debris 29 released into the fluid. This allows the fluid and the embolic debris 29 to flow into each inlet opening 35 at the center section 25 of the perfusion balloon and enter into the "pocket" 31 created by the filter 30 and each channel 33. Other forms of perfusion members 28 such as spiral members (e.g. balloons) and stud radiation members (e.g. balloons) can also be used. The channels at the distal end 27 of the perfusion member 28 and in the filter 30 are relatively deep to form "reservoirs" 34 for trapping and holding the embolic debris 29.

The filter 30 extends across the vessel 12 to allow fluid to pass through while blocking and trapping the embolic debris 29. The filter 30 may be made from any film which is flexible and porous and which has a pore size to pass blood and block the emboli. Certain commercially available materials such as a material designated by the trademark Goretex are suitable filtration membrane materials. The filter 30 can also be made from elastomers with open cell foams.

The lesion dilating member 22, the perfusion member 28 and the filter 30 are pre-folded. The catheter 12 is first advanced so that the lesion dilating member 22 is juxtaposed to the lesion 21 in the vessel as shown in FIG. 1 and the perfusion member 28 and the filter 30 are located past the position of the lesion in the direction of the fluid flow also as shown in FIG. 1. The length of the dual-lumen tubing 18 can be varied as needed without departing from the spirit and scope of the present invention. The perfusion member 28 is then dilated to the configuration shown schematically in FIG. 5. This opens the perfusion member 28 and fully opens the filter 30.

As shown in FIG. 6, the lesion dilating member 22 is thereafter expanded (along with the stent 24) to open the vessel 12 to the flow of fluid at the position of the

lesion 21. Since the metal struts of the stent 24 may cut into the stenosis lesion 21 as it is expanded, emboli may be generated at this time. After the vessel 12 has been opened at the position of the lesion 21 as shown schematically in FIG. 6, the lesion dilating member 22 is deflated. This will cause the fluid (e.g. blood) to resume its flow into the artery. The  
5 deflation of the lesion dilating member 22 is shown schematically in FIG. 6. The resumption of the blood flow in the area of the stenosis lesion 21 causes any emboli which may have been trapped against the outer surface of the lesion dilating member to be swept into the blood flow to be ultimately trapped in the emboli-capturing assembly 26.

Any embolic debris 29 generated from the expansion of the lesion dilating  
10 member 22 will flow downstream with the blood into inlet openings 35 of the channels 33 of the perfusion member 28, this being the only open area. The fluid (blood) will be permitted to flow through the filter 30 to reach blood vessels distal to the inflated perfusion member 28 in order to maintain a sufficient flow of oxygenated blood to downstream organs and tissue. However, emboli 29 will be blocked from passing through the filter 30.  
15 The emboli will thus remain in the deep reservoirs 34 (FIG. 6) formed at the distal end of the perfusion member 28.

A period of time is allowed to pass to ensure that all of the embolic debris 29 is collected in the deep reservoir 34 at the distal end of the perfusion member 28 (FIG. 7). The perfusion member 28 is then deflated (FIG. 8). The deflation of the perfusion member  
20 28 causes the shallow portion of the channels at the center section 25 to collapse before the deeper distal portion of the channel. Since these channels are deeper at the distal end than the channels shown in FIG. 11, embolic debris 29 remains trapped in the deeper portion of the channel. The dilating balloon 22 and the perfusion balloon 28 may then be pulled into a sheath (not shown) without losing any of the embolic debris 29 which may  
25 otherwise flow through the inlet openings of the channels 33 of the perfusion member 28. The sheath is then removed from the vessel 12. The removal of the catheter 10 from the vessel 12 is indicated schematically by an arrow 48 in FIG. 9.

The lesion dilating member 22 has been shown in the figures as an expandable member, such as a balloon, and a stent which performs the interventional procedure.  
30 However, it is possible to use a single expandable member, such as a dilatation balloon, which can be utilized to perform a simple angioplasty procedure. While a convention stent

has been described in conjunction with the present invention, it is possible to use a self-expanding stent to perform the interventional procedure a swell. If a self-expanding stent is utilized, a restraining sheath would be required to be placed over the collapsed stent when the catheter is positioned within the patient's vasculature. Thereafter, once the  
5 stent is in proper position in the artery, the restraining sheath can be retracted to expose the self-expanding stent which will then expand radially outward to open the occluded portion of the artery.

Various catheter components of the present invention can be made from conventional materials utilized in angioplasty catheters and other well-known catheters in  
10 the art. For example, the catheter can be made from a polymeric material such as a low or medium molecular weight polyolesin, examples of which include PE, EVAC, EVA, and IONOMERS. Any other plastic materials or blend of materials, including cross-linked materials and composites, may also be suitable.

The perfusion member (balloon) illustrated in the drawings utilizes a  
15 conventional perfusion balloon well-known in the art. However, it will be readily apparent to one skilled in the art that a modified balloon could also be made in accordance with the present invention. For example, a perfusion member can be utilized with specially designed channels which extend proximally to distally with shallower channels in the proximal portion of the balloon with larger channels at the distal end of the balloon.  
20 Moreover, the coverage of the filtering material could start from the proximal end of the balloon where the inlet opening and channel are shallower than the distal portion of the balloon which forms the "reservoir" portion of the emboli-capturing assembly. The number of channels and the size (depth and width) and shape of the channels can be varied accordingly without departing from the spirit and scope of the present invention.  
25 Additionally, a longer perfusion balloon can be used to create a longer "pocket" for trapping the emboli. By creating a longer pocket, emboli pushed deep into the reservoir portion of the pocket will have a more difficult time migrating back towards the inlet opening as the perfusion member being collapsed.

The catheter apparatus described above has certain important advantages. It  
30 provides for the retention of emboli in the perfusion member during the interventional procedure. It also provides for proper retention of the emboli as the perfusion member is

being collapsed and withdrawn from the vessel. In this way, the present invention helps prevent a patient from having a stroke during and after intervention procedures. The present invention is also advantageous in that it is simple in construction and easy to operate.

5           Although this invention has been disclosed and illustrated with reference to particular embodiments, the principles involved are susceptible for use in numerous other embodiments which will be apparent to persons of ordinary skill in the art. The invention is, therefore, to be limited only as indicated by the scope of the appended claims.

WHAT IS CLAIMED:

1. A catheter apparatus for treating a lesion-blocked vessel and capturing emboli flowing through the vessel, comprising:
  - a lesion dilating member for disposition in the vessel at the position of the lesion blockage, the lesion dilating member being expandable to open the vessel at the position of the lesion, and
  - a perfusion member and filter for disposition in the vessel at a position displaced from the lesion, the perfusion member having proximal and distal ends with the filter attached to the outer surface of the perfusion member at a location distal to the proximal end of the perfusion member, wherein
- 10 the outer surface of the perfusion member has a plurality of annularly spaced peaks and channels formed between adjacent peaks to allow the flow of the fluid and the filter is made from a material which allows the fluid to pass but not emboli.
2. The catheter apparatus of claim I wherein the distance between the peaks and the bottoms of the channels at the start of the coverage of the filter is less than the distance between the peaks and the bottoms of the channels at the distal end of the perfusion member.
3. The catheter apparatus of claim I wherein the perfusion member is expandable from a collapsed to an expanded position.
4. The catheter apparatus of claim I wherein the perfusion member is an expandable member.
5. The catheter apparatus of claim 4 wherein the expandable member is a balloon.
6. The catheter apparatus of claim I wherein the filter coverage starts substantially near the center of the perfusion member.

7. The catheter apparatus of claim I wherein each channel of the perfusion member has a particular depth.

8. The catheter apparatus of claim 7 wherein the depth of the channel varies along the length of the perfusion member.

9. An emboli-capturing device for capturing emboli released into the fluid of body vessel, comprising:

a perfusion member having proximal and distal ends and a filter attached to the outer surface of the perfusion member at a location distal to the proximal end of the  
5 perfusion member, wherein

the outer surface of the perfusion member has a plurality of annularly spaced peaks and channels formed between adjacent peaks to allow the flow of the fluid and the filter is made from a material which allows the fluid to pass but not emboli.

10. The emboli-capturing device of claim 9 wherein the distance between the peaks and the bottoms of the channels at the start of the coverage of the filter is less than the distance between the peaks and the bottoms of the channels at the distal end of the perfusion member.

11. The catheter apparatus of claim 9 wherein the perfusion member is expandable from a collapsed to an expanded position.

12. The catheter apparatus of claim 9 wherein the perfusion member is an expandable member.

13. The catheter apparatus of claim 12 wherein the expandable member is a balloon.

14. The catheter apparatus of claim 9 wherein the filter coverage starts substantially near the center of the perfusion member.

15. The catheter apparatus of claim 9 wherein each channel of the perfusion member has a particular depth.

16. The catheter apparatus of claim 9 wherein the depth of the channel varies along the length of the perfusion member.

17. The catheter apparatus of claim 9 wherein the filter is bonded to the peaks of the perfusion member.

18. A method of opening a vessel, at least partially blocked, to pass fluid through the vessel and to capture emboli from flowing through the vessel, comprising the steps of:  
providing a lesion dilating member and a perfusion member and a filter, all attached to a catheter at displaced positions in the direction of the fluid flow,

5 the lesion dilating member being constructed to be disposed at the position of the lesion in the vessel and being expandable to open the vessel at the position of the lesion and being collapsible after opening the vessel,

the outer surface of the perfusion member having a plurality of annularly spaced peaks and channels formed between adjacent peaks and the perfusion member  
10 being constructed to be disposed after the lesion in the direction of the fluid flow and to provide for the flow of the fluid through the channels, the perfusion member being expandable and collapsible,

the filter being attached to the outer surface of the perfusion member to form a pocket with each channel and constructed to pass the fluid and to block the passage of  
15 the emboli,

disposing the catheter in the vessel, the lesion dilating member and perfusion member being collapsed with the lesion dilating member in juxtaposition to the lesion and with the perfusion balloon and the filter distal from the lesion in the direction of the fluid flow,

20 expanding the perfusion member,  
expanding the lesion dilating member,

collapsing the lesion dilating member, and  
collapsing the perfusion member.

19. The method of claim 18 wherein the distance between the peaks and the bottoms of the channels at the start of the coverage of the filter is less than the distance between the peaks and the bottoms of the channels at the distal end of the perfusion member.

20. The method of claim 18 wherein the perfusion member is a balloon.

21. The method of claim 18 wherein each channel of the perfusion member has a particular depth.

22. The method of claim 21 wherein the depth of the channel varies along the length of the perfusion member.

23. The method of claim 18, including the step of:

removing the catheter, the lesion dilating member and the perfusion member and the filter from the vessel after collapsing the lesion dilating member and perfusion member.

24. In a method of opening a vessel, at least partially blocked, to pass fluid through the vessel and to prevent emboli from flowing through the vessel, the steps of:

attaching a perfusion member and a filter to a catheter, the perfusion member being inflatable and debatable, the filter being disposed on the perfusion member and being

5 distal from the perfusion member in the direction of the fluid flow,

the perfusion member being constructed in the inflatable relationship to pass the fluid to the filter and to retain the emboli after the passage of the emboli partially through the filter, the filter being constructed to retain the emboli and pass the fluid,

the perfusion member being constructed in the debatable relationship to block  
10 the passage of the emboli,



disposing the catheter and the perfusion member and the filter in the vessel with the perfusion member and the filter distal from the lesion in the direction of the fluid flow,

initially inflating the perfusion member before enlarging the vessel at the  
5 position of the lesion,

retaining the perfusion member in the inflated relationship during the enlargement of the vessel at the position of the lesion, and

deflating the perfusion member a particular time after the enlargement of the vessel at the position of the lesion.

25. The method of claim 24, wherein

the perfusion member has proximal and distal ends,

the perfusion member is provided with undulations providing variations in depth at progressive annular positions, the depth of the undulations in the perfusion  
5 member in the inflated relationship of the perfusion member being less than the depth of the undulations in the perfusion member in the deflated relationship of the perfusion member,

the depth of the undulations in the perfusion member increases with progressive amounts of deflation of the perfusion member to prevent the emboli from  
10 passing from the perfusion member in the deflated relationship of the perfusion member.

26. The method of claim 24, wherein

the perfusion member is provided with peaks at annularly spaced positions and with channels formed between adjacent peaks and the distance between the peaks and the channels in the perfusion member in the inflated relationship of the perfusion member  
5 being less than the distance between the peaks and the channels in the perfusion member in the deflated relationship of the perfusion member to provide for a retention of the emboli in the perfusion member in the deflated relationship of the perfusion member,

the perfusion member has proximal and distal ends,

the distance between the peaks and the channels at the proximal end of the  
10 perfusion member in the inflated relationship of the perfusion member is less than the

distance between the peaks and the troughs in the perfusion member at the distal end of the perfusion member in the inflated relationship of the perfusion member.

27. The method of claim 25, wherein

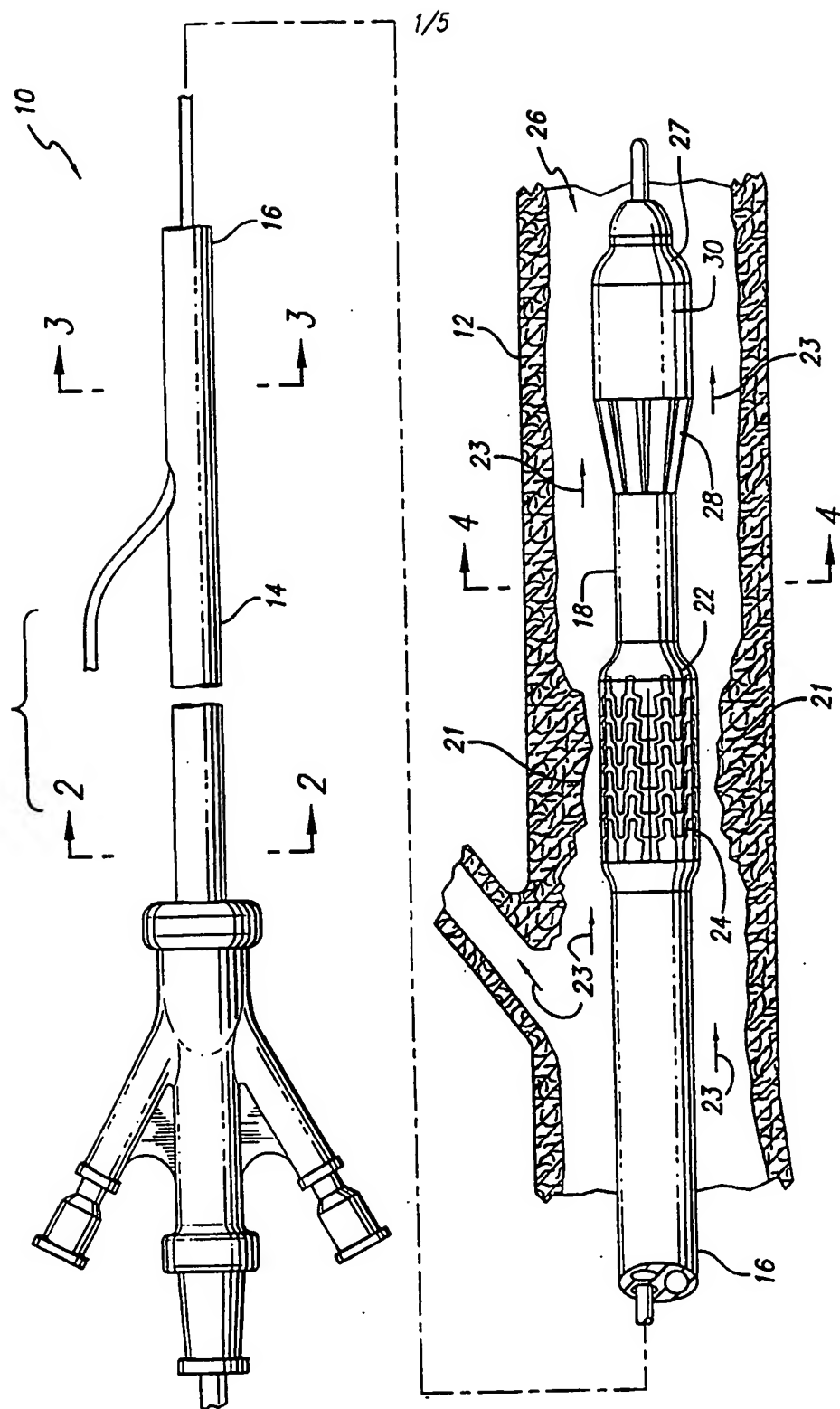
the filter is made from a material having pores with dimensions to pass the fluid but not the emboli and the filter being bonded to the perfusion member, and further including the step of,

5 removing the catheter and the perfusion member and filter from the vessel after the deflation of the perfusion member.

28. The method of claim 26, wherein

the filter is made from a material having pores with dimensions to pass the fluid but not the emboli and the filter being bonded to the perfusion member, and including the step of

5 removing the catheter and the perfusion member and the filter from the vessel after the deflation of the perfusion member.



2/5

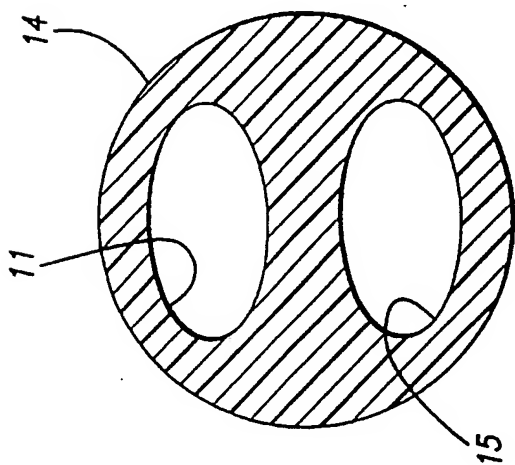


FIG. 2

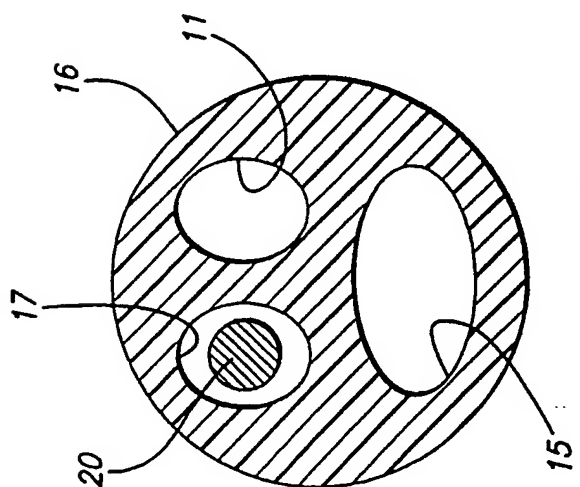


FIG. 3

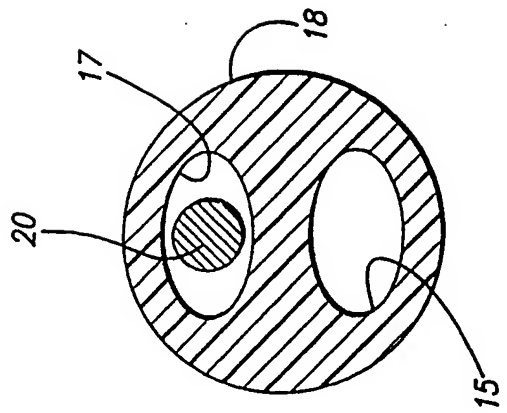
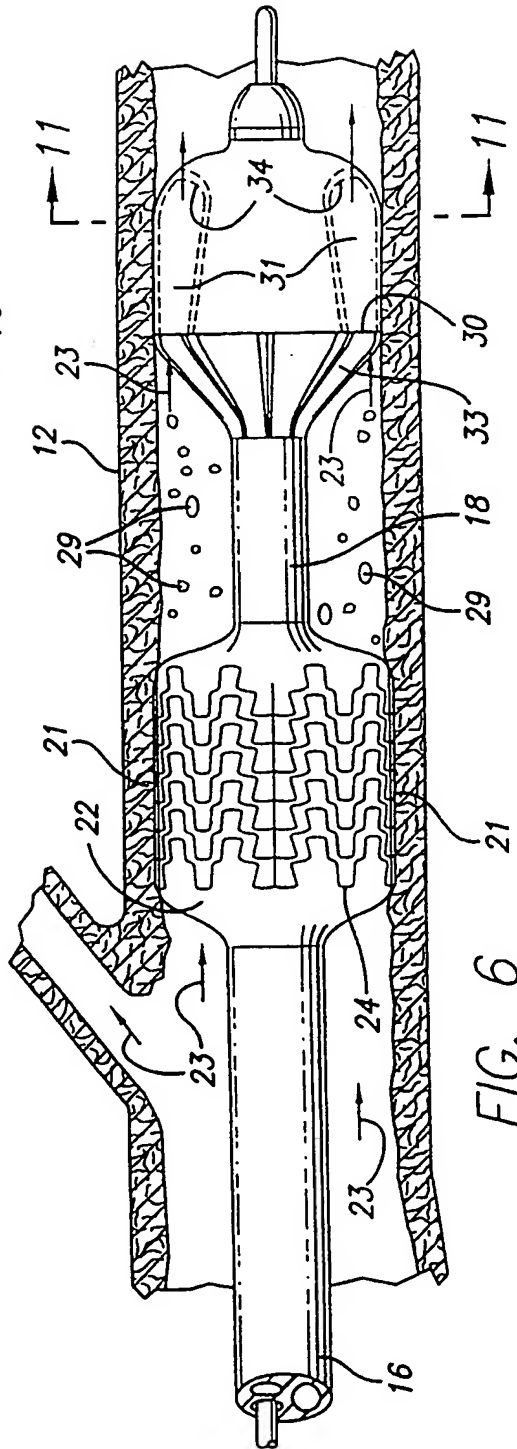
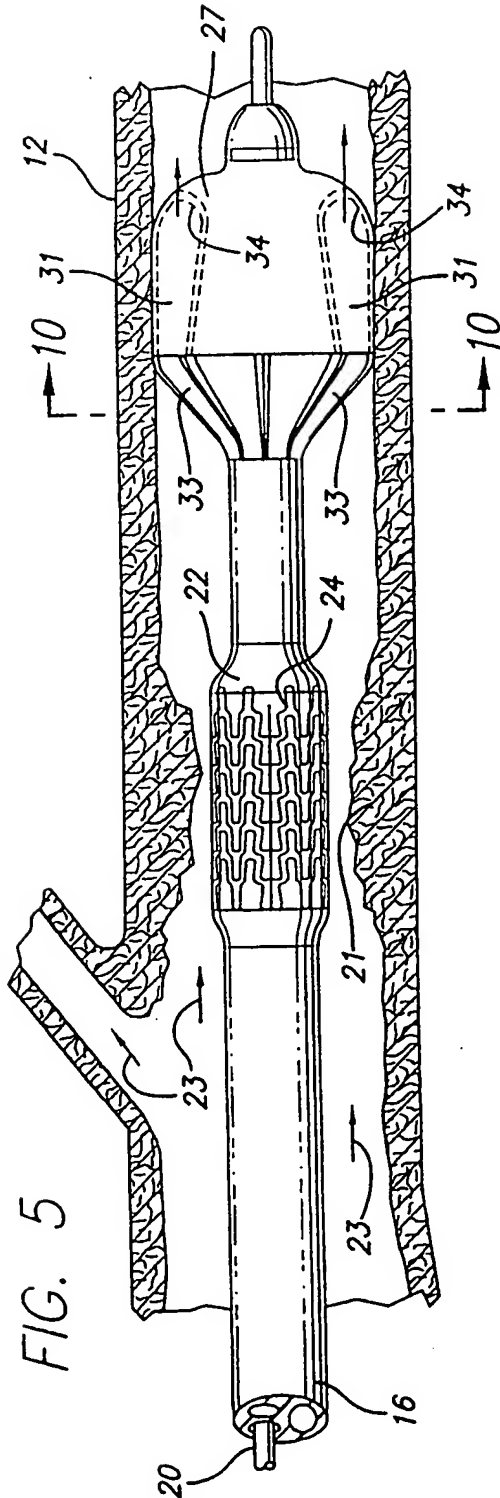
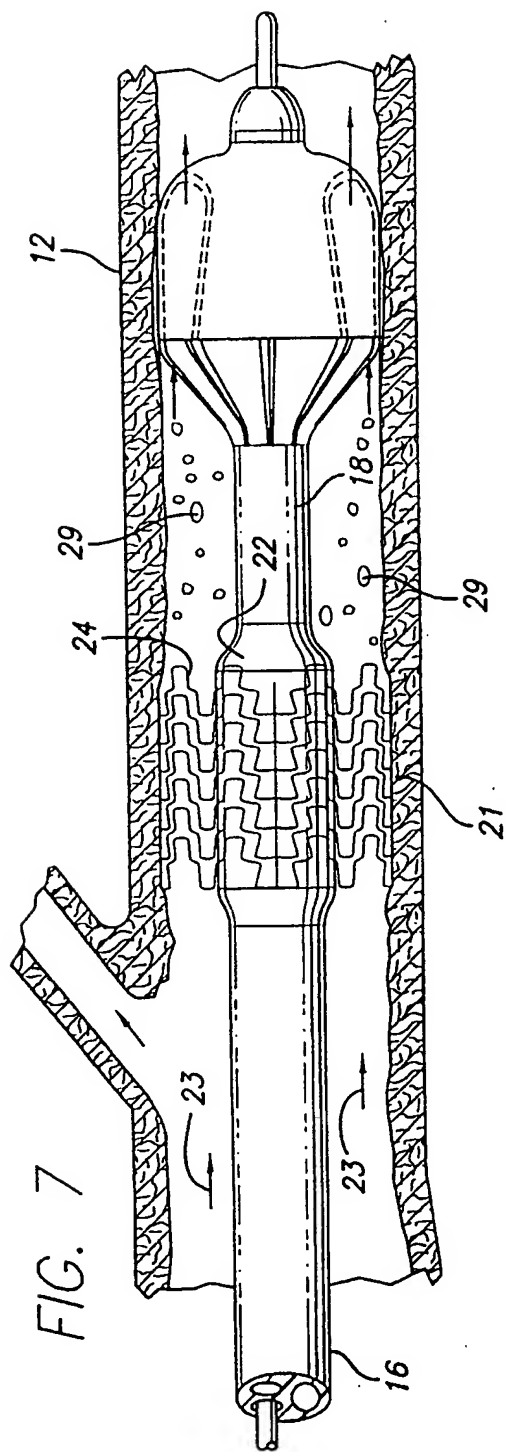


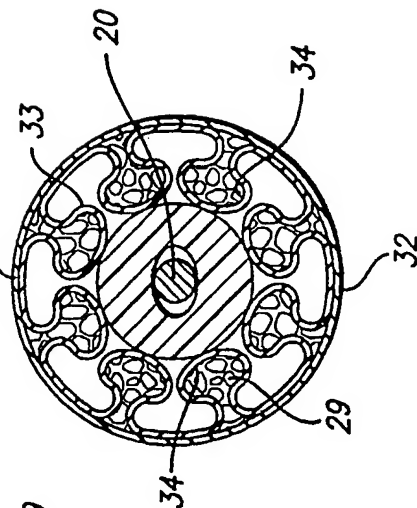
FIG. 4



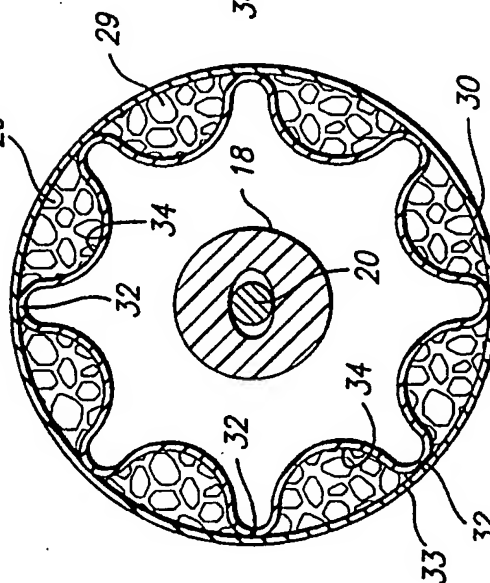


4/5

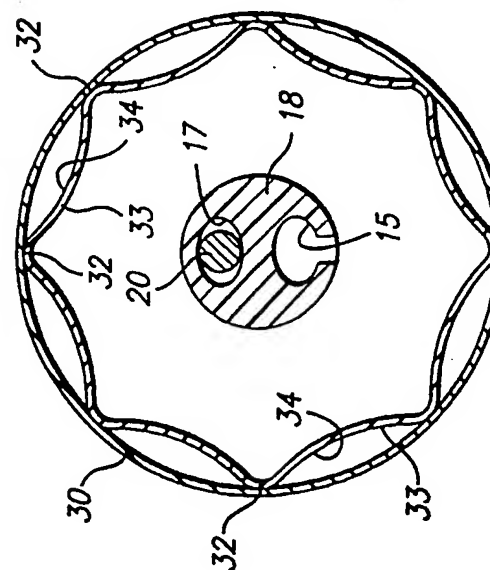
**FIG. 12**

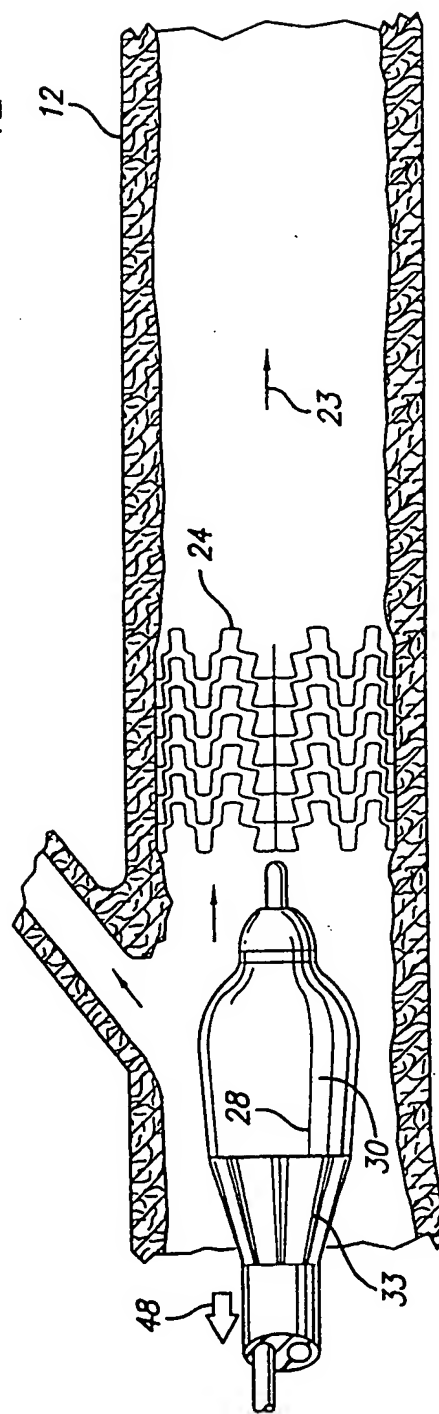
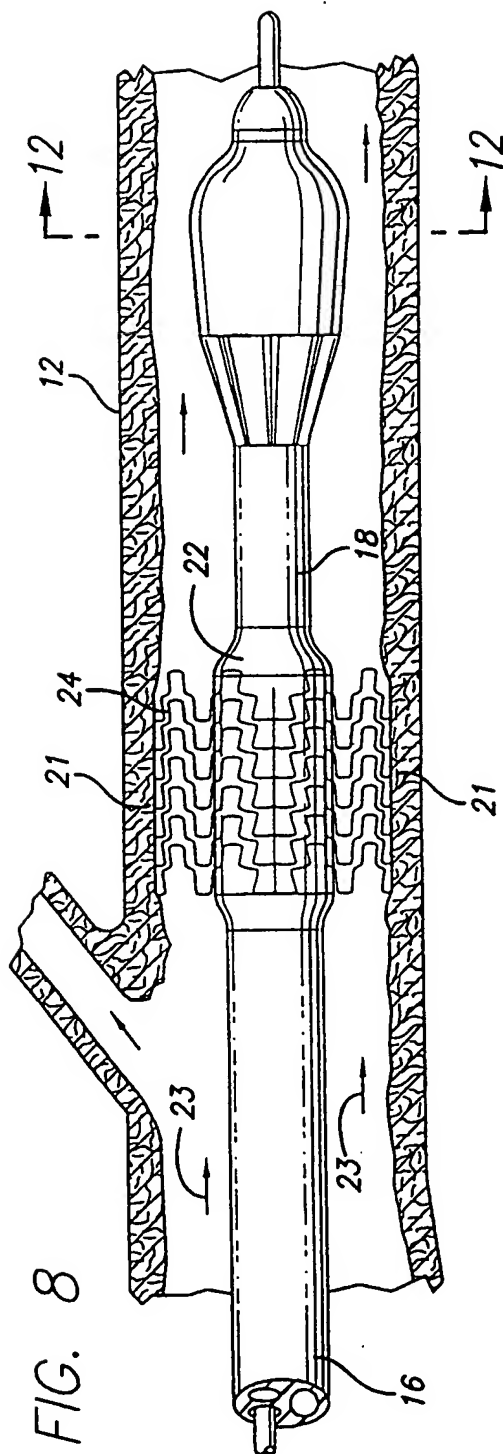


**FIG. 11**



**FIG. 10**





# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 00/35697

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 A61F2/01

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 723 549 A (WHOLEY MARK H ET AL) 9 February 1988 (1988-02-09) the whole document ---	1,3-5,9, 11-15
A	US 5 827 324 A (DANIEL JOHN M K ET AL) 27 October 1998 (1998-10-27) column 4, line 15 -column 5, line 67; figures ---	1,3-5,9, 11-15
A	US 5 911 734 A (MAAHS TRACY D ET AL) 15 June 1999 (1999-06-15) column 16, line 16 - line 33; figures ---	1,9
A	US 6 001 118 A (ADAMS DANIEL O ET AL) 14 December 1999 (1999-12-14) abstract; figures --- -/--	1,9

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

8 May 2001

Date of mailing of the international search report

15/05/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Kousouretas, I



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/35697

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>WO 99 16382 A (LEARY JAMES J ;CARDEON CORP (US); MACOVIK JOHN A (US); SAMSON WIL) 8 April 1999 (1999-04-08) page 24, line 23 -page 25, line 4; figures -----</p>	1,9

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/35697

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 4723549	A	09-02-1988	NONE	
US 5827324	A	27-10-1998	EP 0934092 A WO 9839053 A	11-08-1999 11-09-1998
US 5911734	A	15-06-1999	AU 7174598 A DE 980278 T EP 0980278 A US 5910154 A WO 9850103 A US 6042598 A US 6027520 A US 6165200 A	27-11-1998 17-08-2000 23-02-2000 08-06-1999 12-11-1998 28-03-2000 22-02-2000 26-12-2000
US 6001118	A	14-12-1999	US 5814064 A EP 0934092 A WO 9839053 A EP 0923344 A US 6053932 A WO 9838920 A	29-09-1998 11-08-1999 11-09-1998 23-06-1999 25-04-2000 11-09-1998
WO 9916382	A	08-04-1999	AU 9321498 A EP 1017333 A	23-04-1999 12-07-2000